

DECLARATION FOR PATENT APPLICATION

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name;

I believe I am the original, first and sole inventor (if only one name is listed below), or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

METHOD FOR TREATMENT OF SLE

the specification of which (check one)

_____ is attached hereto

☒ was filed on February 14, 1997
as application Serial No. 08/800,682

_____ and was amended on: _____
(if applicable)

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, § 1.56(a).

I hereby claim foreign priority benefits under Title 35, United States Code, § 119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed.

Prior Foreign Application(s)			Priority Claimed
<u>PCT/US96/07597</u>			
_____	PCT	24 May 1996	X
(Number)	(Country)	(Day/Month/Year Filed)	
_____	_____	_____	_____
(Number)	(Country)	(Day/Month/Year Filed)	
_____	_____	_____	_____
(Number)	(Country)	(Day/Month/Year Filed)	

I hereby claim the benefit under Title 35, United States Code § 119(e) of any United States provisional application(s) listed below:

Provisional Application Number	Filing Date
<u>60/011,867</u>	<u>15 February 1996</u>
(Number)	(Day/Month/Year Filed)
_____	_____
(Number)	(Day/Month/Year Filed)
_____	_____
(Number)	(Day/Month/Year Filed)

I hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of the application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, § 1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

(Application Serial No.)	(Filing Date)	Status (patented, pending, abandoned)
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(Application Serial No.)	(Filing Date)	Status (patented, pending, abandoned)
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As named inventor, I hereby appoint the following attorney(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith.

Patrea L. Pabst	31,284
D. Andrew Floam	34,597
David S. Bradin	37,783


Send Correspondence to: Patrea L. Pabst, Esq.
ARNALL GOLDEN & GREGORY
2800 One Atlantic Center
1201 W. Peachtree Street
Atlanta, GA 30309-3450

Direct telephone calls to: Patrea L. Pabst (404) 873-8794

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of sole or first inventor Morris Reichlin
Inventor's signature *Morris Reichlin* Date March 17, 1997
Residence 5801 N. Stonewall Drive, Oklahoma City, Oklahoma 73111
Citizenship United States
Post Office Address 5801 N. Stonewall Drive, Oklahoma City, Oklahoma 73111

✓

Full name of second inventor Eugen Koren
Inventor's signature  Date March 18, 1997
Residence 600 Chestnut Street, No. 203, San Francisco, California 94133
Citizenship United States
Post Office Address 600 Chestnut Street, No. 203, San Francisco, California 94133

THE UNIVERSITY OF CHICAGO

223/
2047

U/MC/1504



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
ASSISTANT SECRETARY AND COMMISSIONER
OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

JUNE 09, 1997

PTAS

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2800 ONE ATLANTIC CENTER
1201 W. PEACHTREE STREET
ATLANTA, GA 30309-3400



100404064A

UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

jc882 U.S. PTO
09/768155
01/23/01

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, NORTH TOWER BUILDING, SUITE 10C35, WASHINGTON, D.C. 20231.

RECORDATION DATE: 04/15/1997

REEL/FRAME: 8468/0660
NUMBER OF PAGES: 4

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

REICHLIN, MORRIS

DOC DATE: 03/17/1997

ASSIGNOR:

KOREN, EUGEN

DOC DATE: 03/18/1997

ASSIGNEE:

OKLAHOMA MEDICAL RESEARCH FOUNDATION
825 N.E. 13TH STREET
OKLAHOMA CITY, OKLAHOMA 73104

SERIAL NUMBER: 08800682
PATENT NUMBER:

FILING DATE: 02/14/1997
ISSUE DATE:

SEDLEY PYNE, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

DOCKETED
DATE: 10/14/97
BY: DTX

JUN 13 1997



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FORMATION FORM COVER

PATENTS ONLY

04-29-1997

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T the Honorable Commissioner of Patents and Trademarks: Please record the

100404064

1. Name of conveying party(ies):

Morris Reichlin
and Eugen KorenAdditional name(s) of conveying party(ies) attached? ☐ Yes ☐ No

2. Name and address of receiving party(ies)

Name: Oklahoma Medical ResearchInternal Address: FoundationStreet Address: 825 N.E. 13th StreetCity: Oklahoma State: OK ZIP: 73104Additional name(s) & address(es) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other _____Execution Date: March 17 and 18, 1997

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

08/800,682

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Patrea L. Pabst, Esq.Internal Address: ARNALL GOLDEN & GREGORYStreet Address: 2800 One Atlantic Center1201 W. Peachtree StreetCity: Atlanta State: GA ZIP: 30309-
34006. Total number of applications and patents involved: 17. Total fee (37 CFR 3.41).....\$ 40.00☒ Enclosed☐ Authorized to be charged to deposit account

8. Deposit account number:

01-2507

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Patrea L. Pabst

Name of Person Signing

Signature

April 11, 1997

Date

Total number of pages including cover sheet, attachments, and document: 4

Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents & Trademarks, Box Assignments

Washington, D.C. 20530

ASSIGNMENT

We, Morris Reichlin of 5801 N. Stonewall Drive, Oklahoma City, Oklahoma 73111 and Eugen Koren of 600 Chestnut Street, No. 203, San Francisco, California 94133, in consideration of Five Dollars and other valuable consideration paid to me by Oklahoma Medical Research Foundation, a corporation of Oklahoma having its principal place of business at 825 N.E. 13th Street, Oklahoma City, Oklahoma 73104, the receipt of which is hereby acknowledged, do hereby sell, assign and transfer unto said Oklahoma Medical Research Foundation, its successors and assigns, the entire interest for the United States of America and its territories and all foreign countries and jurisdictions including all rights of priority under the International Convention for the Protection of Industrial Property in a certain invention or improvement in "Method for Treatment of SLE" described in an application filed in the United States Patent and Trademark Office on February 14, 1997, by Morris Reichlin and Eugen Koren, and in all Letters Patent of the United States of America and its territories and all foreign countries and jurisdictions which may or shall be granted on said invention, or any parts thereof, or on said application, or any divisional, continuation, continuation-in-part, renewals, reissue or other applications based in whole or in part thereon. And we agree, for ourselves and our executors and administrators, with said corporation and its successors and assigns but at its or their expense or charges, hereafter to execute all applications, amended specifications, deeds or other instruments, and to do all acts necessary or proper to secure the grant of Letters Patent in the United States and in all other countries to said corporation, with specifications and claims in such form as shall be approved by the counsel of said corporation and to vest and confirm in said corporation, its successors and assigns, the legal title to all such patents.

And we do hereby authorize and request the Commissioner of Patents and Trademarks of the United States to issue such Letters Patent as shall be granted upon said application or applications based thereon to said corporation, its successors and assigns.

WITNESS my hand and seal this 17th day of March, 1997.

Morris Reichlin

Morris Reichlin

State of Oklahoma
County of

)
) ss.

Then personally appeared the above named Morris Reichlin and acknowledged the foregoing instrument to be his free act and deed, before me, this 17th day of March 1997.

Gay L. Reed

Notary Public

Expiration date: 11/29/98

"Method for Treatment of SLE"

By: Morris Reichlin and Eugen Koren

Filed: February 14, 1997

ASSIGNMENT

WITNESS my hand and seal this 18th day of March, 1997.



Eugen Koren

State of California
County of

)
) ss.

Then personally appeared the above named Eugen Koren and acknowledged the foregoing instrument to be his free act and deed, before me, this ____ day of _____, 1997.



Notary Public

CALIFORNIA ALL-PURPOSE ACKNOWLEDGMENT

State of California

County of San Mateo

On March 18, 1997 before me, Margaret K. Panko, Notary Public

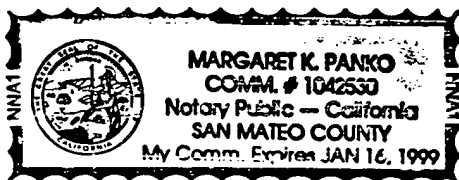
Date

Name and Title of Officer (e.g., "Jane Doe, Notary Public")

personally appeared EUGEN KOREN

Name(s) of Signer(s)

☐ personally known to me – OR – ☒ proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.



WITNESS my hand and official seal.

Margaret K. Panko
Signature of Notary Public

OPTIONAL

Though the information below is not required by law, it may prove valuable to persons relying on the document and could prevent fraudulent removal and reattachment of this form to another document.

Description of Attached Document

Title or Type of Document: Patent Assignment

Document Date: _____ Number of Pages: _____

Signer(s) Other Than Named Above: _____

Capacity(ies) Claimed by Signer(s)

Signer's Name: _____

- ☐ Individual
☐ Corporate Officer
 Title(s): _____
☐ Partner — ☐ Limited ☐ General
☐ Attorney-in-Fact
☐ Trustee
☐ Guardian or Conservator
☐ Other: _____

Signer Is Representing: _____

RIGHT THUMBPRINT
OF SIGNER
Top of thumb here

Signer's Name: _____

- ☐ Individual
☐ Corporate Officer
 Title(s): _____
☐ Partner — ☐ Limited ☐ General
☐ Attorney-in-Fact
☐ Trustee
☐ Guardian or Conservator
☐ Other: _____

Signer Is Representing: _____

RIGHT THUMBPRINT
OF SIGNER
Top of thumb here

Applicants: Morris Reichlin and Eugen Koren

Application No.: _____ Filed: February 14, 1997

For: Method for Treatment of SLE

Oklahoma Medical

Research Foundation

a corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

certifies that it is the assignee of the entire right, title and interest in the patent application identified above by virtue of either:

A. ☒ An assignment from the inventor(s) of the patent application identified above, for which a copy thereof is attached.

OR

B. ☐ A chain of title from the inventor(s), of the patent application identified above, to the current assignee as shown below:

1. From: _____ To: _____
The document was recorded in the Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.
2. From: _____ To: _____
The document was recorded in the Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplement sheet.

☐ Copies of assignments or other documents in the chain of title are attached.

The undersigned has reviewed all the documents in the chain of title of the patent application identified above and, to the best of undersigned's knowledge and belief, title is in the assignee identified above.

The undersigned (whose title is supplied below) is empowered to act on behalf of the assignee.

I hereby declare that all statements made herein of my own knowledge are true, and that all statements made on information and believe are believed to be true; and further, that these statements are made with the knowledge that willful false statements, and the like so made, are punishable by fine or imprisonment, or both, under Section 1001, Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Date : March 19, 1997

Name : William G. Thurman

Title : President

Signature : William G. Thurman, M.D.